

**MUST BE POSTMARKED  
NO LATER THAN  
NOVEMBER 19, 2010**

**BMS AWP SETTLEMENT  
THIRD-PARTY PAYOR CLAIM FORM**

**OFFICIAL USE ONLY**

*In re: Pharmaceutical Industry Average Wholesale Price Litigation*  
Docket No. 01-CV-12257 PBS, MDL No. 1456

To get a share of the Settlement Fund, you need to complete and sign this Claim Form and submit it to:

BMS AWP TPP Settlement Administrator  
P.O. Box 24648  
West Palm Beach, FL 33416

***This Claim Form must be received or postmarked no later than November 19, 2010.***

The information you provide will be kept confidential and will be used only for administering this settlement. If you have any questions, please call the Claims Administrator at **1-877-690-7097**.

A TPP Settlement Class Member ("Class Member") or an authorized agent can complete this Claim Form. If both a Class Member and its authorized agent submit a Claim Form, the Claims Administrator will only consider the Class Member's Claim Form. The Claims Administrator may request supporting documentation. The claim may be rejected if any requested documentation is not provided.

If one or more Class Members has authorized you to submit a Claim Form on its behalf, you must provide the information requested in Section B in addition to the other information requested by this Claim Form. You may submit a separate Claim Form for each Class Member that has duly authorized you to do so, OR you may submit one Claim Form for all such Class Members that have authorized you to do so. If you are submitting Claim Forms both on your own behalf as a Class Member AND on behalf of one or more Class Members that have authorized you to do so, you should submit one Claim Form for yourself and another Claim Form for the other Class Member(s). **Do not submit a Claim Form on behalf of any Class Member without specific prior authorization from that Class Member.**

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## **SECTION A – CLAIMANT IDENTIFICATION**

**Please indicate whether you are claiming on your own behalf as a Class Member or as the authorized agent of one or more Class Members by placing an "X" in the appropriate space below.** If you wish to make a claim as a Class Member *and also* as the authorized agent of other Class Members, please complete one Claim Form for your claim as a Class Member and a separate Claim Form for those Class Members for whom you are authorized to submit a claim:

I am the Class Member

I am filing as the Authorized Agent of a Class Member\*\*

\*\* As Authorized Agent, please check how your relationship with the Class Member is best described:

Third Party Administrator (other than a Pharmacy Benefits Manager)

Pharmacy Benefits Manager

Other (Explain): \_\_\_\_\_





**SECTION B – CLASS MEMBER OR AGENT INFORMATION**

Class Member's/Authorized Agent's Name

Street Address

Floor/Suite

City

State

Zip Code

Area Code – Telephone Number

Area Code – Fax Number

Class Member's/Authorized Agent's Tax Identification Number

If you file as a Class Member, list other names by which you have been known or other Federal Employer Identification Numbers ("FEINs") you have used from January 1, 1991 through December 31, 2004.



If you are filing as the Class Member, check the term below that best describes your company/entity:

Health Insurance Company/HMO

Self-Insured Employee Health Plan

Self-Insured Union Health & Welfare Fund

Other (Explain):

**SECTION C – CLAIM BY AUTHORIZED AGENT**

Please list the name and FEIN of every Class Member for whom you have been duly authorized to submit this Claim Form (attach additional sheets to this Claim Form as necessary). Alternatively, you may submit the requested list of Class Member names and FEINs in an acceptable electronic format. Please contact the Claims Administrator to determine what formats are acceptable.





**SECTION D – TOTAL AMOUNT OF BMS DRUG PURCHASES**

For the Class Member on whose behalf you are submitting a claim, state the total and final amount paid or reimbursed, net of rebates, chargebacks, co-pays, and/or co-insurance for each BMS Drug set out in the chart below with a date of service or date of fill from January 1, 2003 to December 31, 2003. If you are claiming more than \$300,000, you will need to provide additional information (See Section F):

| Drug Name       | MediGap TPP Class | Private Payor TPP Class |
|-----------------|-------------------|-------------------------|
| Blenoxane       | \$                | \$                      |
| Cytosan         | \$                | \$                      |
| Etopophos       | \$                | \$                      |
| Paraplatin      | \$                | \$                      |
| Rubex           | \$                | \$                      |
| Taxol           | \$                | \$                      |
| Vepesid         | \$                | \$                      |
| <b>TOTAL \$</b> | \$                | \$                      |

*Claimant certifies that the figures are true and accurate and are based upon actual records maintained by or otherwise available to the claimant.*

**SECTION E – JURISDICTION OF THE COURT AND CERTIFICATION**

By signing below, I hereby swear and affirm that: (1) I have authority to submit this Claim Form either directly or on behalf of the Class Member or as its Authorized Agent, and, in turn, have been given the authority to submit this Claim Form by each Class Member identified in this Claim Form and in any attachments to it, and to receive on behalf of each such Class Member any and all amounts that may be allocated from the TPP Settlement Pool to such Class Member; (2) the information contained in this Claim Form and any attachments hereto is true and accurate, based on records maintained by or otherwise available to me; (3) I, the Authorized Agent (if any), and the Class Member on whose behalf this Claim Form is submitted, hereby submit to the jurisdiction of the United States District Court for the District of Massachusetts (the "Court") for all purposes associated with this Claim Form and the Settlement, including resolution of disputes relating to this Claim Form; (4) in the event that amounts from the TPP Settlement Pool are distributed to the Authorized Agent of a Class Member, and the Class Member later claims that the Authorized Agent did not have the authority to claim and receive such amounts on its behalf, the Authorized Agent, I, and/or my employer will hold the Class, Counsel for the Class, Defendants, Counsel for Defendants, and the Claims Administrator harmless with respect to any claims made by said Class Member.

Signature

Position

Print Name

Month/Day/Year



The following additional information is to be provided by the Individual that signs and certifies this Claim Form: I am filing this Claim Form as the authorized employee of the following Class Member or Authorized Agent for a Class Member:

Name of Individual's Employer

Business Address

Floor/Suite

City

State

Zip Code

Area Code – Telephone Number

Area Code – Fax Number

Email Address

Mail the completed Claim Form to the address listed on page 1, postmarked no later than **NOVEMBER 19, 2010**.

## SECTION F – CLAIM DOCUMENTATION INSTRUCTIONS

If you are claiming less than \$300,000 of total purchases of all BMS Drugs for the 2003 period, you do not need to attach any additional information. However, even if your purchase amount is less than \$300,000, you should retain the information required for claims over \$300,000 because any claim may be audited.

If you are claiming \$300,000 or more of total purchases of all BMS Drugs you must provide documentation with your Claim Form to have your claim considered by the Claims Administrator. Please provide the required data fields necessary for your participation as a TPP Class Member as presented in the Data Field Layout sample on page 5, for all paid claims with a date of service or date of fill between January 1, 2003 to December 31, 2003 net of co-pay deductibles or co-insurance. Please provide this data along with the Claim Form to the Claims Administrator received or postmarked no later than November 19, 2010:

1. J-Code or NDC Number – provide the applicable J-Code or NDC Number for each transaction. A list of the J-Codes and NDC Numbers are annexed as Attachment A.
2. Patient Identifier – provide a random encrypted patient identification number. This number must consistently reflect the same patient.
3. Service and/or Fill Date – we expect service date will be available for J-Code entries and fill date will be available for NDC entries. Please include both if they are available.
4. Group Number – provide the group number assigned to each transaction. As part of the auditing process, you may be asked to provide the corresponding group name for each group number. Only the Claims Administrator will have access to this information.
5. Amount Billed – billed charges or the initial amount billed by the provider or providers before any adjustments.
6. Net Amount Paid – final amount paid for each discrete transaction, net of co-pays, deductibles, co-insurance, and any other credits and adjustments after initial payment.



**OTHER INFORMATION**

- If you are able, please provide units for each transaction.
- Please provide the electronic data in either Microsoft Excel format or ASCII flat file pipe delimited “|” or fixed-width format. **Refer to the sample layout below.**
- Finally, please provide a list of all self-funded healthcare plans (“SFPs”) for which you are authorized to make a claim.
- All information you provide is subject to the protective order governing this action.

**Data Field Layout for Claims of \$300,000 or More**

| NDC<br>or J-Code     | Patient<br>Identifier | Service/Fill<br>Date | Group<br>Number      | Amount<br>Billed                | Net Amount<br>Paid              |
|----------------------|-----------------------|----------------------|----------------------|---------------------------------|---------------------------------|
| <input type="text"/> | <input type="text"/>  | <input type="text"/> | <input type="text"/> | <input type="text" value="\$"/> | <input type="text" value="\$"/> |
| <input type="text"/> | <input type="text"/>  | <input type="text"/> | <input type="text"/> | <input type="text" value="\$"/> | <input type="text" value="\$"/> |
| <input type="text"/> | <input type="text"/>  | <input type="text"/> | <input type="text"/> | <input type="text" value="\$"/> | <input type="text" value="\$"/> |
| <input type="text"/> | <input type="text"/>  | <input type="text"/> | <input type="text"/> | <input type="text" value="\$"/> | <input type="text" value="\$"/> |
| <input type="text"/> | <input type="text"/>  | <input type="text"/> | <input type="text"/> | <input type="text" value="\$"/> | <input type="text" value="\$"/> |
| <b>COLUMN TOTALS</b> |                       |                      |                      | <input type="text" value="\$"/> | <input type="text" value="\$"/> |
| <b>TOTAL CLAIM</b>   |                       |                      |                      | <input type="text" value="\$"/> |                                 |

**ATTACHMENT A - LIST OF J-CODES AND NDC NUMBERS**

| <b>NDC</b>  | <b>DRUG</b> | <b>DESCRIPTION</b>         |
|-------------|-------------|----------------------------|
| 00015301020 | Blenoxane   | BLENOXANE INJ 15 UNIT VL   |
| 00015301026 | Blenoxane   | BLENOXANE INJ 15 UNIT VHA  |
| 00015301097 | Blenoxane   | BLENOXANE 15 UNITS VIAL    |
| 00015306301 | Blenoxane   | BLENOXANE INJ 30 UNIT VL   |
| 00015306326 | Blenoxane   | BLENOXANE INJ 30 UNIT VHA  |
| 00015050001 | Cytoxan     | CYTOXAN FOR INJ 100 MG     |
| 00015050041 | Cytoxan     | CYTOXAN INJ 100MG          |
| 00015050141 | Cytoxan     | CYTOXAN INJ 200MG          |
| 00015050241 | Cytoxan     | CYTOXAN INJ 1X500MG VIAL   |
| 00015050301 | Cytoxan     | CYTOXAN TABS 50MG          |
| 00015050302 | Cytoxan     | CYTOXAN TABLETS 50MG       |
| 00015050303 | Cytoxan     | CYTOXAN TABLETS 50 MG      |
| 00015050348 | Cytoxan     | CYTOXAN TABS 50MG          |
| 00015050401 | Cytoxan     | CYTOXAN TABS 25MG          |
| 00015050541 | Cytoxan     | CYTOXAN PINJ 1X1G VIAL     |
| 00015050641 | Cytoxan     | CYTOXAN INJ 1X2GM VIAL     |
| 00015053910 | Cytoxan     | CYTOXAN 100MG LYOPH W/CYT  |
| 00015053941 | Cytoxan     | CYTOXAN LYOPHILIZED 100MG  |
| 00015054610 | Cytoxan     | CYTOXAN 200MG LYOPH W/CYT  |
| 00015054641 | Cytoxan     | CYTOXAN LYOPHILIZED 200MG  |
| 00015054710 | Cytoxan     | CYTOXAN 500MG LYOPH W/CYT  |
| 00015054712 | Cytoxan     | CYTOXAN LYO 500MG VL VHA   |
| 00015054741 | Cytoxan     | CYTOXAN LYOPH 500MG        |
| 00015054810 | Cytoxan     | CYTOXAN 1 GM LYOPH W/CTYOG |
| 00015054812 | Cytoxan     | CYTOXAN 1G 6X50ML VHA+     |
| 00015054841 | Cytoxan     | CYTOXAN LYOPHILIZED 1GM    |
| 00015054910 | Cytoxan     | CYTOXAN 2GM LYOPH W/CYTOG  |
| 00015054912 | Cytoxan     | CYTOXAN 2G 6X100ML VHA+    |
| 00015054941 | Cytoxan     | CYTOXAN LYOPHILIZED 2GM    |
| 00087050001 | Cytoxan     | CYTOXAN 100MG VIAL         |
| 00087050041 | Cytoxan     | CYTOXAN 100MG VIAL         |
| 00087050101 | Cytoxan     | CYTOXAN 200MG VIAL         |
| 00087050141 | Cytoxan     | CYTOXAN 200 MG VIAL        |
| 00087050201 | Cytoxan     | CYTOXAN 500MG VIAL         |
| 00087050241 | Cytoxan     | CYTOXAN 500MG VIAL         |
| 00087050301 | Cytoxan     | CYTOXAN 50MG TABLET        |
| 00087050302 | Cytoxan     | CYTOXAN 50MG TABLET        |
| 00087050303 | Cytoxan     | CYTOXAN 50MG TABLET        |
| 00087050401 | Cytoxan     | CYTOXAN 25MG TABLET        |
| 00087050541 | Cytoxan     | CYTOXAN 1GM VIAL           |
| 00087050641 | Cytoxan     | CYTOXAN 2GM VIAL           |
| 00087054741 | Cytoxan     | CYTOXAN LYOPHILIZED 500MG  |

| <b>NDC</b>   | <b>DRUG</b> | <b>DESCRIPTION</b>           |
|--------------|-------------|------------------------------|
| 00015340420  | Etopophos   | ETOPHOS 100MG VIAL           |
| 000153321030 | Paraplatin  | PARAPLATIN 50 MG/5 ML VIAL   |
| 000153321076 | Paraplatin  | PARAPLATIN 50 MG/5 ML VIAL   |
| 000153321130 | Paraplatin  | PARAPLATIN 150 MG/15 ML VIAL |
| 000153321176 | Paraplatin  | PARAPLATIN 150 MG/15 ML VIAL |
| 000153321230 | Paraplatin  | PARAPLATIN 450 MG/45 ML VIAL |
| 000153321276 | Paraplatin  | PARAPLATIN 450 MG/45 ML VIAL |
| 000153321310 | Paraplatin  | PARAPLATIN 50MG W/CYTO       |
| 000153321329 | Paraplatin  | PARAPLATIN 1 0X5ML VHA+      |
| 000153321330 | Paraplatin  | PARAPLATIN 50MG LYOPHILZ     |
| 000153321410 | Paraplatin  | PARAPLATIN 150MG LYOPH CY    |
| 000153321429 | Paraplatin  | PARAPLATIN 1 0X1 5ML VHA+    |
| 000153321430 | Paraplatin  | PARAPLATIN 1X150MG LYO VL    |
| 000153321510 | Paraplatin  | PARAPLATIN 450MG VL W/CYT    |
| 000153321529 | Paraplatin  | PARAPLATIN 10X45ML VHA+      |
| 000153321530 | Paraplatin  | PARAPLATIN 1X450MG LYO VL    |
| 000153321630 | Paraplatin  | PARAPLATIN 600 MG/60 ML VIAL |
| 00015335122  | Rubex       | RUBEX 10MG LYOPHILIZED       |
| 00015335124  | Rubex       | RUBEX 10MG IMMUNEX LABEL     |
| 00015335222  | Rubex       | RUBEX 50MG LYOPHILIZED       |
| 00015335224  | Rubex       | RUBEX 50MG IMMUNEX LABEL     |
| 00015335322  | Rubex       | RUBEX 100 MG LYOPHILIZED     |
| 00015335324  | Rubex       | RUBEX 100MG IMMUNEX LABEL    |
| 00015345620  | Taxol       | TAXOL 30MG CONC FOR INJ      |
| 00015345699  | Taxol       | TAXOL 30MG/5ML VIAL          |
| 00015347520  | Taxol       | TAXOL 30MG/5ML VHA+ LABEL    |
| 00015347527  | Taxol       | TAXOL 30MG SEM-SYN VIAL      |
| 00015347620  | Taxol       | TAXOL 30MG INJ MULTIDOSE     |
| 00015347622  | Taxol       | TAXOL 100MG/16.7ML VHA+ L    |
| 00015347630  | Taxol       | TAXOL 100MG SEM-SYN VIAL     |
| 00015347911  | Taxol       | TAXOL 100MG INJ MULTIDOSE    |
| 00015306120  | Vepesid     | TAXOL 300MG/50ML VIAL        |
| 00015306124  | Vepesid     | VEPESID 500MG                |
| 00015306220  | Vepesid     | VEPESID 500MG 25ML VL VHA    |
| 00015306224  | Vepesid     | VEPESID 1GM/50ML             |
| 00015308420  | Vepesid     | VEPESID 1G 50ML VIAL VHA+    |
| 00015309145  | Vepesid     | VEPESID INJ 150MG/7.5ML      |
| 00015309510  | Vepesid     | VEPESID 50MG CAPSULES        |
| 00015309519  | Vepesid     | VEPESID 100MG VIAL W/CYTO    |
| 00015309520  | Vepesid     | VEPESID 20MG/ML AMPUL        |
| 00015309530  | Vepesid     | VEPESID INJ 100MG/5ML        |
|              | Vepesid     | VEPESID 100MG VL W/O CYTO    |

| <b>NDC</b>  | <b>DRUG</b> | <b>DESCRIPTION</b>    |
|-------------|-------------|-----------------------|
| 00015309595 | Vepesid     | VEPESID 20 MG/ML VIAL |
| 00015309597 | Vepesid     | VEPESID 20MG/ML AMPUL |
| 00015309615 | Vepesid     | VEPESID 10MG/ML VIAL  |
| 00015309695 | Vepesid     | VEPESID 10MG/ML VIAL  |

| <b>J-CODE</b> |               |
|---------------|---------------|
| <b>Drug</b>   | <b>J-Code</b> |
| Blenoxane     | J9040         |
| Cytoxan       | J8530         |
|               | J9070         |
|               | J9080         |
|               | J9090         |
|               | J0991         |
|               | J9092         |
|               | J9093         |
|               | J9094         |
|               | J9095         |
|               | J9096         |
|               | J9097         |
| Etopophos     | J9181         |
|               | J9182         |
|               | J8560         |
| Paraplatin    | J9045         |
|               | J9000         |
| Rubex         | J9001         |
|               | J9265         |
| Taxol         | J9181         |
| Vepesid       | J9182         |
|               | J8560         |